UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Docket #1:19-cv-09156-

GRAHAM CHASE ROBINSON, : LJL-KHP

Plaintiff, :

- against -

ROBERT DE NIRO, et al, : New York, New York

April 21, 2022

Defendants. :

-----: DISCOVERY CONFERENCE

PROCEEDINGS BEFORE
THE HONORABLE JUDGE KATHERINE H. PARKER,
UNITED STATES MAGISTRATE JUDGE

APPEARANCES:

For Plaintiff: SANFORD HEISLER SHARP, LLP

BY: KATE MACMULLIN, ESQ.
ALEXANDRA HARWIN, ESQ.
DAVID SANFORD, ESQ. (via

telephone)

1350 6th Avenue, Floor 31 New York, New York 10019

For Defendant

Canal Productions, TARTER KRINSKY & DROGIN LLP

Inc.: BY: LAURENT SCOTT DROGIN, ESQ.

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APPEARANCES (CONTINUED):	
For All Defendants:	TRAUB LIEBERMAN STRAUS & SHREWSBERRY BY: GREGORY R. BENNETT, ESQ. 7 Skyline Drive Hawthorne, New York 10532

INDEX

EXAMINATIONS

Re- Re- Witness <u>Direct Cross</u> <u>Direct Cross</u>

None

EXHIBITS

None

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1
                          PROCEEDINGS
2
             THE CLERK: Calling case 19cv9156, Robinson versus
3
   De Niro.
             Beginning with counsel for the plaintiff, please
 4
   make your appearance for the record?
5
             MS. ALEXANDRA HARWIN: I'm Alexandra Harwin from
6
7
   Sanford Heisler Sharp on behalf of plaintiff, Graham Chase
   Robinson.
8
9
             HONORABLE KATHARINE H. PARKER (THE COURT):
                                                         Good
10
   morning.
11
             MS. KATE MACMULLIN: Kate MacMullin from Sanford
12
   Heisler Sharp, also --
13
             MR. DAVID SANFORD: (via telephone) This is David
14
   Sanford by phone, Your Honor, good morning, counsel for
15
   the plaintiff.
16
             THE COURT: Good morning. And I'm going to ask
17
   counsel that are present in the courtroom to speak
18
   into the microphone because otherwise Mr. Sanford is
19
   not going to be able to hear it. So go ahead, Ms.
20
   MacMullin.
21
             MS. MACMULLIN: Sure, this is Kate MacMullin
22
   from Sanford Heisler Sharp on behalf of plaintiff,
23
   Graham Chase Robinson.
24
             THE COURT: Thank you.
25
             THE CLERK: And counsel for the defendants, please
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5
 1
                          PROCEEDINGS
 2
   make your appearance for the record.
 3
             MR. LAURENT S. DROGIN: For defendant Canal
   Productions, Laurent Drogin from Tarter Krinsky & Drogin.
 4
             MS. BRITTANY K. LAZZARO: Brittany Lazzaro from
 5
    Tarter Krinsky & Drogin for defendant Canal Productions.
 6
 7
             MR. GREGORY BENNETT: Gregory Bennett for
 8
    defendants, Traub Lieberman Straus & Shrewsbury, good
   morning.
 9
10
             THE COURT: Good morning, everyone, nice to see
    everybody in person finally. There are a number of
11
12
    discovery issues. You started out this case with not many
13
    issues and now you've just accelerated into a flurry of
14
    issues. So there's, there's some pending motions. First I'm
15
    going to take the, what I think may be the easiest one
16
    which is the motion to compel compliance with the ESI
17
    order. As I understand from plaintiff's counsel, they're
18
    working to rectify the metadata snafu, what's the story
19
    with that?
20
             MS. HARWIN: That's correct, Your Honor. So
21
    after defendants raised a concern about the metadata
    we were in frequent communication with our ESI vendor
22
23
    to try to investigate and rectify the issue. They
24
   brought in additional personnel and identified that it
25
    is a software issue that was causing this problem,
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1
                         PROCEEDINGS
   they're working to correct that and are contemplating
2
3
   being in a position to make a supplemental production
 4
   of metadata that we hope will be complete next week.
            THE COURT: Okay, so next week.
5
   should provide the date when these recordings were --
6
7
            MS. HARWIN: That's what we understand.
            THE COURT: (continuing) -- made. Okay. All
8
9
   right, that's, I'm glad that will be cleared up. Okay,
10
   so I don't think there is anything more for me to do
11
   on that, there's no need for further motion practice
12
   and I'm going to assume that this issue is resolved
13
   unless I hear otherwise.
14
            Next, let's talk about the request for more
15
   time to depose Mr. De Niro. I've read through the
16
   letters and also through the deposition transcript, so
17
   I'm not sure why four hours is necessary, can you
18
   enlighten me on that?
19
            MS. HARWIN: Yes, and Mr. Sanford who is on
20
   the phone is going to address that.
21
            THE COURT:
                       Okay.
            MR. SANFORD: Yes, Your Honor, good morning
22
23
   again. Yeah, this is a complex case that involves
24
   many disputed facts that go back over approximately
25
   one decade. And a lot of the case hinges on
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```
1
                          PROCEEDINGS
   communications between Mr. De Niro and Ms. Robinson.
2
3
   During Mr. De Niro's deposition, plaintiff was not able
 4
   to complete questioning concerning Canal's fraud
   claim, Mr. De Niro's communication with the Manhattan
5
   D.A.'s office, Mr. De Niro's communications with the media
 6
7
   concerning the litigation, Canal's more favorable
   treatment of employees who did not engage in protected
8
9
   activity, the financial oversight provided by Canal's
10
   accountants, and plaintiff's pay comparators. So there are
   a lot of issues left.
11
12
             I mean the case has, you know, eleven legal
13
   claims, seven causes of action brought under the New York
14
   City Human Rights Law, and the New York Labor Law and the
15
   Fair Labor Standards Act, and in light of all of what
16
   remain, we proposed initially five and then suggested four
17
   would be sufficient. I had two conversations with opposing
18
   counsel and they offered one and they said maybe they
19
   could do two, and that's kind of where we left it after
20
   two sessions of a meet and confer.
21
             In addition to that, Your Honor, there are a
22
   number of instances which we cite and the Court has
23
   reviewed concerning the directions not to answer. I
24
   think the rules are pretty clear, Rule 30(c)(2) states
25
   that objections at the time of a deposition must be
```

1 PROCEEDINGS noted on the record with testimony preceding subject 2 3 to any objections, and an attorney may instruct the 4 deponent not to answer only when one of three things is true, either to preserve a privilege, to enforce a 5 limitation ordered by the Court or to present a motion 6 7 under Rule 30(d)(3). And that didn't happen here. As an initial matter, opposing counsel did not 8 9 abide by the rules, did not properly instruct his 10 client not to answer, there was no applicable privilege asserted and there was no limitation ordered 11 12 by this Court. And, importantly, there was no motion 13 to terminate or limit the scope of the deposition as the deposition as envisioned by the Federal Rules. 14 15 Opposing counsel could have and should have 16 done three things. He should have and could have 17 directed his client not to answer, he could have ended 18 the deposition, and he could have called the Court for 19 a ruling. And it is clearly the burden of the moving 20 party to seek a protective order under those 21 circumstances and that didn't happen here. 22 So in light of the numerous instances of 23 directing his client not to answer which, themselves, 24 were improper, and then Mr. De Niro, himself, refusing 25 to answer questions on his own apart from the

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1
                          PROCEEDINGS
   directions, we respectfully ask that the Court allow
2
3
   us more time to conduct the remaining portions of the
   deposition which are really critical to our case and
 4
   our defenses, as well as to instruct opposing counsel
5
   to, you know, confer with his client and mandate that
 6
7
   the client order -- answer the questions that been
8
   posed.
9
             THE COURT: Okay, I'll hear from defendant.
10
             MR. DROGIN: Your Honor, a complex --
11
             THE COURT:
                         If you could just speak into the
12
   microphone, I want to make sure that -- yes, thank
13
   vou.
14
             MR. DROGIN:
                         As complex as the case may or may
15
   not be, the Court is well aware of how the defendants
16
   were required to depose the plaintiff. There are claims
17
   here, counterclaims here, there are two sets of law firms.
18
   The Court is familiar with its own decision on how we had to
19
   pretty much fight tooth and nail to get additional time
20
   where the Court pointed out that there were some issues in
21
   how both sides behaved during the deposition.
22
             I come back to your initial question as to why
23
   can't this, or I quess it's my question really, why
24
   can't this be done in one hour. As we say in our
25
   letter to the Court, they chose the topics, they chose
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1
                         PROCEEDINGS
                                                   10
2
   the order. They chose to go off the record and stop
3
   the clock.
               They had nine hours over two days. we did
   direct Mr. De Niro not to answer a handful of
   questions and the Court is now familiar with the
5
 6
   subject area of those questions. We felt they were
7
   proper directions at the time which is why we did it,
   we didn't do it lightly and, in fact, as the
8
9
   transcript shows, we gave a tremendous amount of
10
   leeway before stepping in to develop the record so
11
   that if the transcript was presented to the Court you
12
   could see when, in fact, we pulled the trigger and
13
   that we, and that we used sound discretion. And, in
14
   fact, we had alerted chambers that the depositions
15
   were taking place, we had offered on the record to
16
   call the Court for a ruling and plaintiff's counsel
17
   declined. I cannot believe that we get hung up here
18
   on the fact that we didn't either cancel the
19
   deposition or interrupt the deposition to place a
20
   call. If counsel was upset with the fact that we were
21
   directing the witness not to answer these questions,
22
   all he had to say was, fine, let's call the Court but,
23
   you know, he chose not to.
24
            As far as Mr. De Niro's refusal to answer
25
   certain questions, again, as we say in the letter,
```

1 PROCEEDINGS 11 2 maybe he should have answered them. In many cases he 3 was told to answer and he did, there are a certain 4 number of questions that he refused to answer. He can answer them, if the, you know, the Court directs him 5 to answer them they will. There's probably fewer than 6 7 ten instances where that happened, it shouldn't take more than ten minutes to get the answers to those 8 9 questions, putting aside whether, not even addressing 10 whether they're relevant or probative, if anything just, again, for the sake of not arguing, if you want 11 12 him to answer the questions, you know, then he will. 13 The Court is very familiar that this, with 14 this case, it's a contentious litigation, there are a 15 lot of strong feelings and emotions on both sides. 16 He, Mr. De Niro has now literally sat and been deposed 17 in the room for 9 hours with an efficiency of 6 hours 18 and 22 minutes, there's 38 minutes left, I'm not going 19 to stand on ceremony and say that there is no leeway 20 there, we think that an hour is plenty of time to 21 complete the deposition. 22 THE COURT: What areas do you, does defendant 23 think remain that are relevant? Mr. Sanford identified a 24 couple, for example, financial oversight and treatment of 25 other employees.

1 PROCEEDINGS 12 2 MR. DROGIN: Well he was free to ask those 3 questions and some of that was gone into at the deposition. 4 I'm not quite sure what he means by financial oversight, but treatment of other employees, many 5 other employees have already testified. You can see 6 7 from the transcript that rather than asking questions about how he behaved towards other employees, male or 8 9 female, the questions were, you know, instead 10 redirected into other areas. So, again, I come back to 11 if he squandered the time asking whether Mr. De Niro 12 owned, you know, planes, helicopters or boats, or he 13 wanted to know about allegations that may have been 14 made in a foreign country, you know, years ago or 15 anything of the like, that was his choice. You know, 16 you get to choose the order in which you ask your 17 questions and you shouldn't assume that you can leave 18 the most important stuff for the tail end. So, you 19 know, my view is he should have an hour and I don't 20 understand why he would need more. 21 THE COURT: Okay. So having taken a look at 22 the transcript, again, I think both sides engaged in 23 improper behavior. There clearly were questions that were not relevant, and a lot of times repeat questions 24 25 on the same areas, that's not proper. Both sides have

1 PROCEEDINGS 13 2 an obligation under Rule 1 to try to be efficient and 3 under Rule 26 the topics explored in depositions should be relevant and proportional to the needs of 4 the case. At the same time, there were times when 5 arguably defense counsel should have controlled their 6 7 client a little bit more and tried to, tried to facilitate getting through some of the areas. Or you 8 9 could have, as Mr. Sanford said, simply called the 10 Court to the extent that you felt certain questions about his personal life were improper or harassing. 11 12 Those questions, I don't see how they're relevant 13 actually to this case and you very well could have 14 gotten a protective order on those questions. I'm not 15 going to permit any more questioning on those areas. 16 Based on what plaintiff has said is remaining, 17 I don't think more than two hours is necessary. It does not take long to get the answer to who, who was 18 19 responsible for overseeing the expense reports, who 20 was responsible for checking the Sky Miles account, 21 did you do that, who did that, what was done about 22 that, these are easy questions. Similarly, if there 23 are specific individuals, at this point in discovery 24 plaintiff's counsel certainly knows if there's other 25 employees who they feel were treated more favorably,

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1
                         PROCEEDINGS
                                                   14
2
   you can ask those questions. But just as I told
3
   defense counsel, you have to be judicious with the
 4
   questions, you have to be strategic with the
   questions. So I'm going to allow two more hours and
5
   that's it.
 6
7
            I also am going to warn defense counsel that
   the objections need to be limited to, directions not
8
9
   to answer need to be limited to areas of privilege.
10
   And I've already said I'm not going to permit any more
   questions into marital issues, family issues, health
11
12
   issues, alcohol issues. The deponent's emotions at a
13
   particular time. This is not, this is neither here
14
   nor there, at the bottom line for this case. So those
15
   questions are off, are off, there's been enough of
16
   those questions. So I'll allow the plaintiff two more
17
   questions -- two more hours to complete and you, I'm
   going to advise you to focus on what's really needed
18
19
   for the claims.
20
            Okay --
21
            MR. SANFORD:
                           Thank you, Your Honor.
            THE COURT: So let's talk now about the --
22
23
            MR. DROGIN: Judge, may I ask a question just
   for clarification?
24
25
            THE COURT:
                        Yes.
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1 PROCEEDINGS 15 2 MR. DROGIN: One of, one of the things that 3 happened during the deposition that to some extent 4 confound, confounded us, was the, we went off the record each time the witness was showed a document, 5 irrespective of how long or short the document was. 6 7 We actually looked into the issue as to whether there's any case law on that and I would propose that 8 9 since it is such a time killer that if counsel wants 10 to show Mr. De Niro a document that we remain on the 11 record. 12 Well, normally the witness is THE COURT: 13 given a chance to take a look at the document, to read 14 the document, and normally, in my experience, it does 15 stay on the record. Now it could be that there's a 16 tape recording or something very long where you agree 17 to take a break. The other thing plaintiffs could 18 think about is if there are particular documents you 19 want to show Mr. De Niro, you could show it to him in 20 advance and then maybe it will be less time for him 21 to, to answer questions about those documents. But 22 normally, normally that time is included except, you 23 know, with certain, certain exceptions within reason. 24 So I would just ask the parties to be reasonable and 25 flexible on this issue depending, you know, I don't

1 PROCEEDINGS 16 2 know what, if it's going to be tape recordings that 3 are going to be played, if it's going to be long 4 documents, what have you, so. MR. SANFORD: Well, Your Honor, if I may, this 5 is David Sanford, we started out not doing that but 6 7 there were I think some issues with Mr. De Niro's ability to bring up the document and there were some 8 9 delays, and we were concerned that, you know, our time 10 was going to be used in part by, you know, that kind of issue. 11 12 Right, and I appreciate that THE COURT: 13 because we're in the Covid world with technology and 14 so the fact that there's technology snafus shouldn't 15 count against one party or another. I think both, that's a 16 rule that both sides should agree to and that's fair. So 17 when I say be reasonable, you know, but, again, it may 18 make sense for plaintiff to send the exhibits in advance 19 and just have hard copies. You can also have, you can send 20 them in a sealed envelope and defense counsel can open the 21 envelope on Zoom if that's how you want to do it. So there 22 are a number of ways you can avoid technical issues while 23 still, you know, preserving your time and preserving some 24 of the whatever surprise or strategy you want to retain in 25 connection with a deposition.

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1
                         PROCEEDINGS
                                                   17
2
            MR. SANFORD: We will certainly consider that,
3
   Your Honor, thank you.
            THE COURT: Okay, so let's talk next about
 4
   this mental examination of plaintiff. The -- the
5
   defense has identified someone to examine the
6
7
   plaintiff and when would such an examination take
8
   place?
9
            MR. BENNETT: Your Honor, I can deal with that
10
   unless you're --
11
            THE COURT: Sure, I want to hear first from
12
   the defense and then I'm going to hear from plaintiff.
13
                           The defendants have identified
            MR. BENNETT:
14
   and retained Dr. Kimberly Resnick as an expert witness
15
   in the area of psychiatry. She has estimated, per our
16
   motion papers, that she can complete her examination
17
   and report within 25 days of the Court's order
18
   allowing the defendant to proceed. We thought that was
19
   a reasonable time period compared to the time period
20
   that the plaintiff had, as well, to complete that
21
   examination.
22
            THE COURT: But why eight hours, that seems a
23
   pretty long time, that's what the doctor said was
24
   required?
25
            MR. BENNETT: Yes, Your Honor, I'm really
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1
                         PROCEEDINGS
                                                   18
2
   deferring to her expertise in this, she submitted a
3
   declaration in support of our motion finding that
 4
   eight hours was reasonable. Of course, whatever Your
   Honor deems reasonable is what we'll take, so to
5
 6
   speak.
7
            THE COURT: Okay, so let me ask plaintiff,
   there's no, there's not really any case law that you
8
9
   cited from within the Second Circuit that was binding
10
   saying that this, such an exam is not appropriate. You
11
   have expert witnesses on psychiatry, it's pretty
12
   typical in personal injury cases to have an IME after
13
   the plaintiff files an expert report. So this doesn't
14
   seem out of the ordinary, why, what case would you
15
   have me rely on that this shouldn't be granted?
16
            MS. HARWIN: Well, you know, it certainly is
17
   out of the ordinary in the context of employment
18
   discrimination cases, and this is simply not a common
19
   practice in this kind of case. And also --
20
            THE COURT: But here most of her injury is
21
   emotional, is that not correct?
22
            MS. HARWIN: Well she has economic injury, as
23
   well --
24
            THE COURT: Sure, but the greater amount is
25
   associated with emotional, is that not correct?
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1 PROCEEDINGS 19 2 You know, I don't know that I MS. HARWIN: 3 would quantify it in that way, I mean ultimately it's 4 going to be a jury's determination as to the allocation of damages, but there are very significant 5 economic damages in this case with the economic expert 6 7 calculating economic damages up to \$9 million. So, you know, it is not the case that the emotional distress 8 9 damages sought are \$12 million. 10 And it's ultimately defendant's burden to show good cause and good cause has not been established 11 12 here. There's nothing, you know, in the cases that 13 defendants cite it's typically where there's a paucity 14 of information as to a plaintiff's mental state. Here 15 we've produced complete, or here defendants have 16 access to plaintiff's full medical history, all of her 17 primary care records, all of our mental health 18 treatment records for years, and defendants don't 19 identify why that is insufficient, they don't even proffer 20 that their expert has attempted to review those materials. 21 And so there's no explanation as to what specifically would be adduced from a compulsory mental examination that isn't 22 23 already identified in the extensive records that have been produced as well as --24 25 THE COURT: Let me stop you for a second.

1 PROCEEDINGS 20 2 MS. HARWIN: Sure. 3 Frequently, experts would be attacked, THE COURT: cross examined, for not having examined the patient, are you 4 willing to stipulate that you would not use that as a basis 5 for challenging any of the opinions of the defendants' 6 7 expert, that she wasn't, she wasn't, she didn't examine the plaintiff? 8 9 MS. HARWIN: Well and expert's opinions need to be 10 well rounded and so, you know, if an expert goes beyond what 11 they could reasonably opine --12 THE COURT: That's not my question, are you 13 willing to stipulate that you will not make any suggestion 14 or challenge to the expert's opinion because she did not 15 examine the plaintiff, that's my question, are you willing 16 to stipulate to that? 17 MS. HARWIN: I, the reason I began there is that there's certain things that an expert, you know, presumably 18 19 can't do without an examination. She can't proffer an 20 independent diagnosis, for instance. And so if the expert 21 were to overstep and do something like that we would want 22 the ability to challenge if the expert does something like 23 that, I don't anticipate that being the case --24 THE COURT: What do you mean, you're saying the 25 expert couldn't say the patient, in the expert's opinion the

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1
                           PROCEEDINGS
                                                        21
 2
   patient does not have PTSD, something like that?
                                                      Because
 3
    it's --
 4
             MS. HARWIN:
                          No.
             THE COURT: It's not uncommon for an expert in
 5
 6
    personal injury cases to say, hey, this back surgery was
 7
    unnecessary, it didn't need to even happen.
             MS. HARWIN: That's not what I'm saying. So, you
 8
 9
    know, the expert could challenge the opinion presented by
10
    plaintiff's treating physician or the expert psychiatrist,
11
    but if, for instance, the expert were to, you know, instead
12
    opine that plaintiff has X, Y or Z condition instead, that's
13
    something that, you know, would be my understanding, based
14
    on the medical profession, would be beyond what an expert
15
    could do. But, again, as I said, I don't anticipate that
16
    being the case here, I would think that the nature of the
17
    expert's opinion will be along the lines of what you just
18
    described which is trying to challenge a diagnosis proffered
19
    by someone else. And that we wouldn't, the absence of an
20
    examination in our, we wouldn't challenge that type of
21
    expert opinion based on the absence of an examination.
22
             THE COURT: Okay, but that still doesn't answer my
23
    question about the stipulation.
24
             MS. HARWIN: So as long as the expert isn't
25
    proffering an independent separate diagnosis, we would have
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1
                         PROCEEDINGS
                                                    22
   no challenge based on the absence of an examination.
2
3
            So if the plaintiff is willing to stipulate --
 4
            MR. BENNETT: Your Honor, if I could just add a
   couple of points --
5
 6
            THE COURT: Yes.
7
            MR. BENNETT: I don't mean to waste the
   Court's time. From the filing of this complaint in
8
9
   October of 2019 until today, nothing about this case
10
   is ordinary, nothing. This is not a run of the mill
   employment dispute, and plaintiff's counsel has
11
12
   prosecuted it as such. So whatever cases that
13
   plaintiff's counsel has cited to in response to our
14
   letter or, excuse me, or formal motion, really isn't
15
   on point. This is, I think we're looking at it through
16
   the lens of is Ms. Robinson asserting garden variety
17
   pain and suffering, emotional distress damages, or
18
        She's clearly not, she's asserting, she's
19
   seeking damages for anxiety, nervousness, sleep --
20
            THE COURT: Sure, there's no question she's
21
   not, she's seeking more than garden variety, that's
22
   absolutely clear.
23
            MR. BENNETT: All we're looking for, all the
24
   defendants are looking for is to play on a level field with
25
   plaintiff. The plaintiff has an expert. The plaintiff's
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1
                           PROCEEDINGS
                                                        23
 2
   expert had the ability and did interview Ms. Robinson before
 3
   preparing a very comprehensive and lengthy report --
 4
             THE COURT: You mean a non, a non-treating expert.
             MR. BENNETT: Correct, that's correct, yes.
 5
             THE COURT: And that non-treating doctor did
 6
 7
    examine the plaintiff.
 8
             MR. BENNETT: Correct, yes.
 9
             THE COURT: Once.
10
             MR. BENNETT: One that they retained --
11
             THE COURT: A consultative exam.
12
             MR. BENNETT: (continuing) -- especially for this
13
    litigation, yes.
14
             THE COURT: Okay, and so you're saying in fairness
15
    you should have, your expert should have a consultative
16
    exam.
17
             MR. BENNETT:
                           Number one, yes --
18
             THE COURT: Okay.
19
             MR. BENNETT: But, more importantly, and, again,
20
    I'm really deferring to the qualifications and the expertise
21
    of Dr. Resnick who we've retained, she told me at the outset
    of consultations with her she won't issue a report unless
22
23
    she can examine somebody because she will be, her
24
    opinions will not be solvent.
25
             THE COURT:
                           Okay.
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1
                         PROCEEDINGS
                                                   24
2
            MR. BENNETT: And that's an expert. I mean
3
   we're looking for something that will, an opinion that
   is within a reasonable degree of medical certainty and
 4
   without the examination from what Dr. Resnick has told
5
   us, she can't offer that.
 6
7
            THE COURT: Okay, I will take this under
   advisement and I will issue a decision in short order.
8
9
   And I, I'll address whatever timeline is appropriate
10
   as applicable.
11
            MR. BENNETT:
                           Thank you.
12
            THE COURT: Okay, are there other issues that
13
   plaintiff wanted to raise today?
14
            MR. SANFORD: Yes, Your Honor, this is David
15
   Sanford. I understand the Court's order regarding the
16
   lines of questioning having to do with marital issues,
17
   and family issues, and alcoholism, and so on, you've
   made that clear. There are a couple of questions,
18
19
   however, Your Honor, that don't fall in those
20
   categories and I just was hoping to get guidance from
21
   the Court today so that we don't have issues in the
22
   continued deposition with respect to a couple of
23
   questions that Mr. De Niro was directed not to answer
24
   and did not answer but where those questions don't
25
   fall under the categories you listed.
```

```
1
                         PROCEEDINGS
                                                   25
            THE COURT: Okay, well what are those
2
3
   questions?
            MR. SANFORD: One question has to do with his
 4
   approximate net worth, he --
5
            THE COURT: I'm sorry, I didn't, you cut out
6
7
   for a second.
            MR. SANFORD: Yeah, one question has to do
8
9
   with the approximate net worth of Mr. De Niro, he
10
   refused to answer saying it was none of my business,
11
   and it is important to understand that for purposes of
12
   punitive damages.
13
            THE COURT: Well can the, can the parties just
14
   enter into a stipulation on that?
15
            MR. SANFORD: We certainly can if, if opposing
16
   counsel is willing to do that?
17
            MR. DROGIN: You know, it assumes that he can
18
   approximate that number.
19
            THE COURT: Well most, most people can, I mean
20
   you look at your bank account, your stock portfolio,
21
   property that you own and any debts that you have and
22
   you make an approximation.
23
            MR. SANFORD: Your Honor, Your Honor --
24
            MR. DROGIN: I guess really it would be framed
25
   as a yes or no question, so if the answer is no I
```

```
1
                         PROCEEDINGS
                                                   26
   cannot we're done with that line of questioning. That
2
3
   information, you can probably Google that and find a
   lot of different authorities on it that may be more
 4
   accurate than he, himself.
5
            MR. SANFORD: Your Honor --
 6
7
            THE COURT: Look, if this is, a net worth is
   relevant to punitive damages and it seems to me that
8
9
   there's no point in asking these questions in, in a
10
   deposition, you can just, you can just make a
   stipulation as to this. Or if you don't want to say
11
12
   exactly, you can say it's in excess of $50 million or
13
   whatever it is, because any, you know, above a certain
14
   amount it really doesn't matter, you know, I don't
15
   think plaintiff really needs to have it to the last
16
   penny, we're talking about scales, right, this is not
17
   somebody who is, who is poverty stricken, we know
18
   that, he's a movie star. So I think that you can have,
19
   you can have, you can also stipulate to a range, but
20
   that's, that's not something that a jury is really
21
   going to hear a lot about, they're going to hear one
   question or one statement, you know, his net worth is
22
23
   over $25 million, whatever it is, that's it, it's one
   point.
24
25
                          Your Honor, if I may, I gave him
            MR. SANFORD:
```

```
1
                         PROCEEDINGS
                                                   27
2
   many opportunities to respond because there are
3
   reports that his net worth is about $500 million --
 4
            THE COURT:
                         Okay.
            MR. SANFORD: But he wouldn't say yes to that
5
   and he wouldn't say anything about 400 or 300 or 200
6
7
   or 100, I think he admitted just that having it be
   over $10 million, but that's really inadequate and
8
9
   cagey when there are reports saying that he is worth
10
   upwards of a half a billion dollars. So I do think
   it's important --
11
12
            THE COURT: Well is he on the Forbes list?
13
            MR. BENNETT:
                          He did answer those questions, I
14
   believe his answer was I wish actually in response to
15
   half a billion.
16
            THE COURT: Okay, yes, I think half a billion
17
   sounds pretty high frankly, but I'm sure his
18
   accountant has and, you know, his personal wealth is
19
   different from the entity wealth, so I am going to
20
   direct that there be no more questions on this and the
21
   parties meet and confer about a stipulation. I mean
22
   this is, this is just basic information.
23
            MR. SANFORD: I'm happy to meet and confer,
24
   Your Honor, and hopefully get that stipulation and if
25
   we do that, you won't hear from us again on this
```

```
1
                         PROCEEDINGS
                                                   28
2
   point.
3
            THE COURT:
                        Good.
            MR. SANFORD: But if we don't, we'll be back
 4
   to the Court seeking relief.
5
            THE COURT: Okay. Anything further on
6
7
   plaintiff's side?
            MR. SANFORD: Yes, Your Honor, there is one
8
9
   other issue. I think all the other questions probably
10
   fall within the categories you listed except for one,
   and that is my question, and I apologize in advance
11
12
   for using this word, but I asked Mr. De Niro whether
13
   he called any women a cunt, C-U-N-T, and he said it's
14
   none of my business. It actually is relevant because
15
   it goes to credibility because there is an issue about
16
   his use of that word, and it goes to the gender claims
17
   that we have.
18
            THE COURT: Well, it would be, it would be --
19
   what would be relevant is whether he used that term
20
   toward women he worked with or worked for, or worked
21
   for him. I mean in his private life if he might have
22
   used that or what have you, I don't know that that's,
23
   you know, that relevant.
24
            MR. SANFORD: Right, there is an allegation --
25
            THE COURT: Or the context in which it was
```

```
1
                         PROCEEDINGS
                                                    29
2
   used, you know, so I think the question would need to
3
   be tailored specifically to whether he used that term
   toward or when referring to employees.
 4
            MR. SANFORD: Right, and there is an
5
   allegation in the case and testimony about that with
6
7
   respect to an employee.
            THE COURT: Okay, so just, just focus the
8
9
   question, yes.
10
            MS. HARWIN: Actually, the allegation in the
11
   complaint is with respect to a business partner, not
12
   an employee.
13
            MR. SANFORD: A partner, that's right,
14
   someone, someone --
15
            THE COURT: Well so why is that relevant if
16
   it's a business partner, not an employee?
17
            MR. SANFORD: Because it's used, because it's
   used in the context of communications with our client
18
19
   and it goes to gender hostility, which is very much front
   and center of this case.
20
21
            MR. BENNETT: Your Honor --
22
            THE COURT: Look, I'll allow the question. I'm
23
   going to allow the question, he's got to answer the
24
   question, he either used it or he didn't use it and
25
   you can make whatever arguments you want. I mean, you
```

```
1
                         PROCEEDINGS
                                                   30
   know, I'm sure, I'm confident I know what you're going
2
3
   to argue if he used, if he did use that term, so he
   used the term, that doesn't really reflect his, his
   attitude all the time. So, you know, people use bad
5
   words, that doesn't necessarily reflect their
6
7
   behavior, that's the argument, okay, I understand what
   you're going to argue and I understand what the
8
9
   plaintiff is going to argue, that not everybody uses
10
   those words and that reflects something about their
   motivation and the jury can decide or the Court can
11
12
   decide. The Court can decide whether it's a stray
13
   remark or not, whether it's, you know, so but he has
14
   to answer the question if it's specifically related to
15
   a question concerning plaintiff and so I'll allow that
16
   one, one question.
17
            MR. SANFORD: Thank you, Your Honor.
18
            MR. DROGIN: Your Honor, the question was
19
   actually answered. It's on page 132 of the
20
   transcript.
21
            THE COURT: Well if it was answered then it's
22
   not going to be asked again, I'm sorry.
23
            MR. DROGIN:
                          The question was, "Question: And
24
   at times you described Ms. Rosenthal as a cunt to Ms.
25
   Robinson? Answer: No. No, I have never done that. I
```

```
1
                         PROCEEDINGS
                                                   31
2
   would never, ever, ever say anything like that to
3
   Chase Robinson, never, ever, that's the kind of thing
 4
   she would want to hear me say, that's in her mind,
   that's an illusion, never, ever would I do that."
5
            THE COURT: So the question was, so the
 6
7
   question was answered, was asked and answered.
            MR. SANFORD: Well --
8
9
            MR. DROGIN: It went on, there were two more
10
   questions about it. He was then asked, "Well, did you
   call Ms. Rosenthal a cunt to anyone else besides Ms.
11
12
   Robinson? Answer: No, no, no, no, no. Question:
13
   Have you called any other woman a cunt? Answer:
14
   That's none of your business." So the question that
15
   he said that's none of your business to was exactly
16
   what the Court said, it was open ended to any other
17
   woman.
            THE COURT: Well it seems that this issue has
18
19
   been covered, I'm not going to allow any more
20
   questions on this given the clarification about, about
21
   this and the direct (inaudible) to this particular
22
   line of questions.
23
            MR. DROGIN: Thank you.
24
            MR. SANFORD: All right, just to be clear,
25
   Your Honor, when you said particular line of
```

```
1
                         PROCEEDINGS
                                                    32
2
   questions, is that with respect to his use of
3
   adjectives with respect to women in other contexts or
 4
   other terms or --
            THE COURT: You asked me if you could have a
5
   question about use of this particular word in the
6
7
   context of employees and this conversation with a
   business partner, and now I've been directed to the
8
9
   specific portion of the transcript where that question
10
   was asked and some, a little more questions, no
11
   further questions on that and the use of that word.
12
            MR. SANFORD:
                           Thank you, Your Honor.
13
                         Anything further on plaintiff's
            THE COURT:
14
            MS. HARWIN:
                          Yes.
15
            MR. DROGIN: Just --
16
            THE COURT:
                         Hold on, I'm going to get to
17
   plaintiffs and then you'll have your turn.
18
            MS. HARWIN: So, you know, obviously Your
19
   Honor has addressed a number of discovery issues.
20
   Another, I just wanted to flag for the Court that
21
   there are a handful of issues that we're still in the
22
   process of meeting and conferring with defendants about.
23
   One key issue arose during the Rule 30(b)(6) depositions
24
   that were held which was that Canal's designated witnesses
25
   were unable to testify on a host of designated topics,
```

```
1
                           PROCEEDINGS
                                                        33
 2
    including aspects of Canal's investigation, the substance of
 3
    its claims, it's policies and procedures and its
 4
    communications with the Manhattan District Attorney's
    Office. And so that is something that we're working with
 5
    defendant's counsel to try to get answers to guestions
 6
 7
    without the need for Court intervention but I just wanted
 8
    clarify for the Court that that's an ongoing --
 9
             THE COURT: Well who was deposed about the
10
    investigation, wasn't that the accountant?
11
             MS. HARWIN: Yes, it was.
12
             THE COURT: And so the accountant didn't know what
13
    he or she did?
14
             MS. HARWIN: Well the accountant was not involved
15
    and disclaimed involvement in the investigation and
16
    disclaimed knowledge of what was done to investigate. The
17
    accountant was unequivocal that the accountants did not
18
    initiate a review or review of Canal's records which was the
19
    claim in Canal's counterclaims and claimed to have very
20
    limited involvement limited to --
21
             THE COURT:
                          Okay.
             MS. HARWIN: (continuing) -- sending some emails.
22
23
             THE COURT: And what policies and procedures don't
24
    you know about?
25
             MS. HARWIN: Well, for instance, Canal designated
```

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1
                           PROCEEDINGS
                                                        34
    the accountant as the Rule 30(b)(6) witness concerning
 2
 3
    discrimination policies. He said he had no knowledge of the
    discrimination policies at all, couldn't even recognize the
 4
   policy that existed when presented with it. And so --
 5
                         But wasn't your client kind of the
 6
             THE COURT:
 7
   head person for Canal, she was head of finance?
             MS. HARWIN: Well our client, at the end of her
 8
 9
    employment, had the title of VP of production and finance,
10
    her role was --
11
             THE COURT: And finance. And wasn't she also
12
    hiring people or supervising people?
13
             MR. BENNETT:
                           Yes.
14
             MS. HARWIN:
                          She was involved in the hiring of
    individuals but the bottom line is she had discrimination
15
16
    complaints and we're entitled to --
17
             THE COURT:
                         Sure --
18
             MS. HARWIN: (continuing) -- testimony about the
19
    policies.
20
             THE COURT: There's no question, there's no
21
    question but if, in fact, she was the one who has the most
22
    knowledge about the policies, who do you think would have
23
    more policies? In a small company if there's just, you
    know, a handful of employees, sometimes there's not a formal
24
25
    handbook or a formal policy or process. I don't know if
```

```
1
                           PROCEEDINGS
                                                        35
    there's a board, I don't know how it's, how this entity is
 2
 3
    structured, but it's not unusual for a very small company
 4
    not to have, I mean it's not, for obvious reasons, it's not
    going to be built out like a Citibank or Bank of America, I
 5
    mean they don't have, they're not going to have that kind of
 6
 7
    HR apparatus, right?
                          So --
             MS. HARWIN: That's certainly the case.
 8
 9
             THE COURT: So the question is, you know, who's
10
    the, who's the right person. Maybe it is Mr. De Niro, to ask
11
    about that?
12
                          That's ultimately up to Canal to
             MS. HARWIN:
13
    designate the witness. But ultimately these were not
14
    questions posed to the accountant as a fact witness, they
15
    were posed as a 30(b)(6) witness.
16
             THE COURT:
                         Right.
17
             MS. HARWIN:
                          And so a 30(b)(6) witness who comes
18
    in without having any knowledge at all has an obligation to
19
    interview people, to review documents and prepare.
20
             THE COURT:
                          Yes.
21
             MS. HARWIN: And they simply didn't do that.
22
             THE COURT: That is correct, okay.
23
             MS. HARWIN: And this was their choice, they could
24
    have designated, for instance, their external general
25
    counsel who's in the room here, they didn't do that, they
```

```
1
                           PROCEEDINGS
                                                       36
 2
   designated the accountant as to the investigation, which
 3
    again he disclaimed involvement with and as to policies and
   procedures which he disclaimed knowledge of. You know, in
 4
    addition, questions were posed concerning the policies as to
 5
    overtime that existed, again, didn't know, you know, was
 6
 7
    there a policy of not paying overtime prior to this year,
 8
   had no idea. And so this was, I mean a recurrent problem --
 9
             THE COURT: Well, a 30(b)(6) deposition is
10
    designed to avoid this, a party getting a runaround
11
    and not getting answers to basic questions, that's the
12
    whole purpose of Rule 30(b)(6). And so the company is
13
    required to prepare that witness and those witnesses are
14
    required to answer those questions and they're going to
15
    binding on the company. So if you don't have answers on some
16
    basic things, I mean if the answer with the policies and
17
    procedures were there really weren't formal ones, okay, so
18
    then that's the answer.
19
             MS. HARWIN: Right.
20
             THE COURT:
                         There's nothing more, there is nothing
21
    more to say about it.
22
             MS. HARWIN:
                          Right.
23
             THE COURT: But you're entitled to get some
24
    answers on that.
25
             MS. HARWIN: And similar, Your Honor, with
```

```
1
                         PROCEEDINGS
                                                    37
2
   respect to another 30(b)(6) topic had to do with, you
3
   know, the specific transactions, expenses, et cetera, that
 4
   are at issue in defendants' complaints against Ms.
   Robinson. And we were unable to get the most basic
5
   information about what are the transactions that are at
6
7
   issue in the claims. For instance, there's a claim about
   fraud with respect to the claiming of vacation days,
8
9
   well what are the days that Canal contends she was on
10
   vacation and that wasn't answered.
11
             With respect to claims about, they claim that
12
   flowers were improperly charged. Well what were the
13
   stores you claim that they were charged at, what is
14
   the amount that you claim was charged.
15
             THE COURT: Well I thought there was a
   forensic, what's --
16
17
            MS. HARWIN: There was no forensic accounting.
             THE COURT: Let me ask defendant, what, was
18
19
   there some forensic accounting, isn't there some
20
   report that says this many, this is what we estimate
21
   the vacation days, even if you can't get it exactly
22
   based on, you know, these emails or whatever, isn't
23
   there some report like that?
24
            MS. HARWIN: No, Your Honor, there is not.
25
            MR. BENNETT: Your Honor, whenever you're
```

```
38
 1
                         PROCEEDINGS
2
   ready --
3
            THE COURT:
                         I'd like to hear from defendant.
                           There is no formal forensic
 4
            MR. BENNETT:
5
   accounting that was performed, it's before Canal
   commenced its action against Ms. Robinson in the State
6
7
   Court in August of 2019.
            THE COURT: Okay.
8
9
            MR. BENNETT: However, going back at least a
10
   year, perhaps a year and a half ago, the plaintiff
11
   served us with a set of interrogatories designed for
12
   us to identify, essentially contention
13
   interrogatories. They wanted us to identify every
14
   single vacation day that served as the basis for the
15
   Canal case, every single flower order that was
16
   unauthorized, et cetera, et cetera, and there are a
17
   lot of details and minutia that served as the basis
18
   for Canal's State Court action against, against, Ms.
19
   Robinson.
20
            THE COURT: Um-hmm.
21
            MR. BENNETT:
                           So at the time we said that's
22
   improper, with all due respect, we'll revisit this
23
   issue after depositions have been conducted. Fast
24
   forward to depositions at the 30(b)(6), and there were
25
   two 30(b)(6) deponents because there were five different
```

```
1
                         PROCEEDINGS
                                                    39
2
   categories, attorney Harvey here was one of the
3
   witnesses, and Mr. Tash (phonetic) from Burden was the
 4
   second witness. It's unrealistic to think, and I say
   this cognizant that a 30(b)(6) representative must be
5
   prepared to testify as to the topics --
 6
7
            THE COURT: Yes.
            MR. BENNETT: But for them to come in and say
8
9
   these 27 categories from the 400 pages of Amex
10
   statements that we produced already in discovery form
   the basis.
11
12
                         Sure, it's not a memory contest.
            THE COURT:
13
            MR. BENNETT:
                           Right.
14
            THE COURT:
                         It's not a memory contest and if
15
   there is not a specific report but rather there's
16
   expense reports that have been produced and
17
   highlighted. I mean, look, an employer's not, not --
   even if there was more instances of alleged theft,
18
19
   there really only needs to be one as a basis to fire
20
   somebody if that's, if there's a loss of trust in
21
   that. So to the extent, to the extent the action was
22
   taken, well I guess Ms. Robinson resigned, but to the
23
   extent, to the extent there was, you know, a couple of
24
   items that were significant and that was the basis and
25
   you know what that, for bringing the suit, and you can
```

```
1
                          PROCEEDINGS
                                                     40
   identify that, and if you at that time didn't identify
2
3
   every other one, then that's what the witness can say.
   But at the same time, if subsequent -- so you must
   know what transactions were the basis for bringing that
5
 6
   suit --
7
             MR. BENNETT:
                          Yes.
             THE COURT: I mean I assume there were some.
8
9
             MR. BENNETT: Your Honor, yes --
10
             THE COURT: Let me hear from the defendant
11
   first.
12
             MR. BENNETT:
                           Thank you. The way we viewed
13
   it, both the contention interrogatories and the
14
   questions that were put to the witness during the
15
   30(b)(6) depositions was they want a roadmap of every form
16
   of evidence that we have to support our claim.
17
             THE COURT: They're entitled to, they're entitled
18
   to understand the basis for bringing that lawsuit and
19
   accusing her of theft.
20
             MR. BENNETT: We understand that, Your Honor, we
21
   have produced all of the documents that Canal relied
22
   on --
23
             THE COURT: But they're entitled to
24
   understand, to have you say she did this, this and
25
   this, there entitled to that. They don't have to go
```

```
1
                         PROCEEDINGS
                                                    41
2
   through the records and guess, you should know that,
3
   you brought the suit.
            MR. BENNETT: And we do, but just taking as
 4
   one example, we produced a document that I think is
5
   roughly 500 pages, it's a collection, compilation of
6
7
   American Express statements with various unauthorized
   transactions within those, within that compilation. Is
8
9
   Your Honor saying that we need to go through and
10
   identify every single specific basis that served as
11
   the grounds for commencing the Canal action or
12
   categorically can we identify the subject matters that
13
   are at the heart informed the basis.
14
            THE COURT: You don't know, at this late date
15
   you don't know which transactions were at issue?
16
            MR. BENNETT: We do know the transactions --
17
            THE COURT: Well then point them out.
18
   them out, yes, of course. I mean this is basic
19
   information.
20
                         Thank you, Your Honor --
            MS. HARWIN:
21
            MR. BENNETT:
                           Judge --
22
            MS. HARWIN:
                          If I could just, you know,
23
   address that. I mean some of the questions were as
24
   simple as, you know, here's a highlighted spreadsheet,
25
   are the ones highlighted in pink the items that you
```

1 PROCEEDINGS 42 claim are improperly charged for this and there was no 2 3 answer provided. 4 THE COURT: Well there has to be, look, at the time that you brought the lawsuit you were aware of 5 certain transactions, you have to identify what those 6 7 transactions were. Those specific transactions. And if you found more later, fine, you can say you found more later 8 9 and it only, it only, you know, increased our concern. 10 That's fine. That's fine, you don't have to know all of the information when you bring the lawsuit, if you have a 11 12 colorable basis for it, but the plaintiff is entitled to 13 know what transactions you're basing it on. I mean this is, at this point in the lawsuit they should have this 14 15 explanation. 16 MR. DROGIN: Your Honor --17 MS. HARWIN: If I could just quickly, and I 18 will certainly give you the opportunity to address, but 19 during the 30(b)(6) deposition, for instance, I mean they 20 could not provide even the most basic range of the claimed 21 charges. For instance, you know, a question as to whether, 22 whether the claim is that Ms. Robinson improperly charged 23 hundreds of thousands of dollars on this credit card or \$60,000, no answer --24 25 THE COURT: Well that also needs to be, that also

```
1
                          PROCEEDINGS
                                                      43
   need, I mean if you, if you, if it turns out you were wrong
 2
 3
    and the number is $10,000 more or less or whatever, okay, so
    I mean that's the point of discovery, plaintiff can say
 4
    you're wrong about this particular charge and the number is
 5
    really $50,000. I mean, you know, that's, sometimes people
 6
 7
    don't always get everything, all the math right or all the
    transactions right, but the plaintiff is entitled to
 8
 9
    certainly know and say, hey, this is, this was proper.
10
             MR. DROGIN: Judge, this part of the
11
    conversation began with counsel saying that we're
12
    working on this and that there's nothing being
13
    presented to the Court right now --
14
             THE COURT:
                         Okay.
15
             MR. DROGIN: Because we are working on this
16
    and we've actually proposed solutions to get them the
17
    information.
             THE COURT: Well what's taken so long, I don't
18
19
    really get it?
20
             MR. DROGIN: The 30(b)(6) witnesses were just
21
    recently deposed, so we've already been in touch with them
22
    about going through the areas where they feel the answers
23
    were deficient and formulating a way to get them the
    information. But it should not be lost and Mr. Bennet was
24
25
   not exaggerating that we are deep, deep, deep into the weeds
```

```
1
                           PROCEEDINGS
                                                        44
 2
   here. And to be very, very clear, the allegations relating
 3
    to this lawsuit indisputably she had cash, she had gift
 4
    cards and she had property. We asked for them back.
    were given back to us by van or truck --
 5
             THE COURT: I understand that.
 6
 7
             MR. DROGIN: So when we're talking about line
    items for flowers, let's not forget she still has the Sky
 8
 9
    Miles, we still don't have them back. So we can talk about
10
    flowers all we want, but there's, there's a circus full of
11
    elephants in the room.
12
             THE COURT: Fine but, for example, at the very
13
    least you could have some report by someone that says here's
14
    a stack of the Amex bills, every highlighted transaction is
15
    improper in our view.
16
             MR. DROGIN: That's what was done. That's what
17
    was done.
             THE COURT: Well I'm hearing from plaintiff's
18
19
    counsel that wasn't done.
20
             MR. DROGIN: Then they need to check, they need to
21
    check the highlights on the Amex statement. And they asked
    another witness who was not a 30(b)(6) witness just that
22
23
    question. And they tallied it up and they said this is the
24
    exact amount that's highlighted, is that where you go that
25
    number?
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1
                          PROCEEDINGS
                                                     45
2
             THE COURT:
                        Well so do you have the information or
3
   do you not have the information?
             MS. HARWIN: We don't have the information from
 4
   Canal as its 30(b)(6) witness as to what these --
5
6
             THE COURT: Do you have the, do you have it from a
7
   knowledgeable witness?
             MS. HARWIN: I don't believe we do.
8
9
             MR. DROGIN: We'll work with them, Judge.
10
             THE COURT: Okay, so I, meet and confer on this
   because the fact that it's not a 30(b)(6) witness but
11
12
   it's a knowledgeable fact witness who went through it and
13
   can testify, you know, that's fine, then you have the
14
   information.
             MS. HARWIN: And we, there was testimony by
15
16
   one person who was involved and this raises and issue
17
   that I think it would be helpful to have the Court's
18
   guidance on which has to do with privilege issues. You
19
   know, Canal had a fact witness who testified that in
20
   coming up with these numbers they were just, you know,
21
   three idiots in front of a computer, I believe that
22
   was the term he used, they were just tabulating
23
   numbers and they weren't making any conclusion that
   there was any wrongdoing. And then we deposed the
24
25
   accountant who said likewise, they made no conclusion
```

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1
                         PROCEEDINGS
                                                   46
2
   as to wrongdoing, and then the question then comes to
3
   well, you know, did anyone make any, you know,
   investigate to determine whether these charges were
 4
   authorized or not --
5
            THE COURT: I've already seen documents that
 6
7
   there was some collection of information prior to the
   lawsuit. There was an investigation that employees
8
9
   were involved in. Whether it looked like, whether it
10
   looked like what an investigation in your mind should
   look like, you know, is another question. Again, this
11
12
   a small organization but I've already seen documents
13
   showing that employees were forwarding emails --
14
            MS. HARWIN: Yes, Your Honor.
15
            THE COURT: And there was, there was a
16
   collection of information to ascertain what happened.
17
            MS. HARWIN: Well let me be clear because I
   think perhaps I was imprecise, what I'm describing is
18
19
   that the employees tabulated expenses but reached no
20
   conclusion as to whether the charges were proper or
21
   improper, they just tabulated here's the number of
   Ubers that are on this credit card. And then the
22
23
   accountant said likewise, they didn't reach any
   determination as to whether they were authorized or
24
25
   not, they actually disclaimed any involvement in even
```

47 1 PROCEEDINGS the tabulation. 2 3 And then, so then the question arises as to what did Canal actually do to investigate whether 4 these charges were proper or not, and then we're 5 running into objections on grounds of privilege. 6 7 essentially the employees didn't do anything to investigate whether the charges were proper or not, 8 9 the accountants didn't, and we're told that the lawyer 10 for Canal was involved in doing this. But this leaves us with, you know, no information as to what was done 11 12 to ascertain whether the charges were proper or not. 13 THE COURT: Well to the extent the lawyer is 14 acting as a fact witness, as a fact investigator, and 15 is making conclusions as to what the investigation 16 results and that is a basis for a claim, there is no, 17 there is not going to be a privilege that protects The privilege is waived or other Courts say 18 19 that it's not subject to a privilege. So, you know, 20 you can look back at the Pray case, which I'm 21 intimately familiar with and that's one of the early decisions about investigations. 22 23 And so, look, if that's what happened, then 24 you're going to have to straighten that out, but 25 discovery is coming to an end here. I mean this is,

```
1
                         PROCEEDINGS
                                                   48
2
   somebody made a conclusion based on, you know, the
3
   information. If the conclusion was we know there were
 4
   Sky Miles taken, we know there was property taken and
   that's the basis of the suit, and since then we've
5
   found more, then that's the basis. I mean I have no
 6
7
   idea what you're going to say but you certainly know
   why you acted, what the basis of the lawsuit was and
8
9
   plaintiff is entitled to understand the factual basis
10
   supporting the claims. And entitled to evaluate and
11
   discovery so that she can rebut them possibly.
12
            MS. HARWIN:
                         Thank you, Your Honor.
13
            MR. DROGIN: No one, no one is contesting
14
          There are privilege issues here and it, it's
15
   not always black and white and we're trying to
16
   navigate through them. You know, for example, when we
17
   asked Ms. Robinson why didn't you return this property
18
   sooner, she invoked the attorney-client privilege,
19
   that's what that whole --
20
                        That's a different, that's a
            THE COURT:
21
   different, that's a different issue than the aspects
22
   of an investigation that might be, that might
23
   privileged.
24
            MR. DROGIN: It is, but it's one of the
   privilege issues that I'm saying that these are not,
25
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```
49
 1
                         PROCEEDINGS
   they're not clear cut.
2
3
            THE COURT: Well I've issued some opinions on
   this, the case law is pretty clear on if you're using
4
   an investigation, if you conducted an investigation
5
   and made conclusions based on the investigation and
6
7
   that's the basis of a claim, that you're supporting a
   claim, that's not privileged, the plaintiff's entitled
8
9
   to that information.
10
            MR. DROGIN: I'm not contesting that and I
   understand the Pray decision well, I'm simply
11
12
   highlighting for the Court that privilege is an issue
13
   that we've run into in this case and we're trying to
14
   navigate it.
15
            THE COURT: Yes, you and a lot of other
16
   litigants in a lot of other cases. Okay, anything
17
   else?
            MS. HARWIN: I think, I just want to flag a
18
19
   scheduling issue which I understand Mr. Bennet will,
20
   I'm happy to pass it over to you if you want to
21
   address it.
22
            THE COURT: Okay?
23
            MR. BENNETT: Okay, thank you. Three quick
24
   issues, Your Honor, the Court has certainly been
25
   tolerant with respect to scheduling issues and I don't
```

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1
                          PROCEEDINGS
                                                      50
2
   mean to push the Court's patience any further than
3
   necessary. The first is really just an FYI, counsel
 4
   and I are working with respect to, as the Court is
   aware the plaintiff produced more than 100 audio
5
   recordings, we had a dispute and it remains open as of
 6
7
   right now but it seems likely that we're going to
   resolve it with respect to authentication of
8
9
   recordings. That's number one. It's possible to the
10
   extent we can't work it out the Court may hear from
   us, but I think, I'm optimistic we will, okay.
11
12
             Number two, the scheduling issue that Ms.
13
   Harwin referred to is with respect to our, the
14
   defendants' expert witness in the area of vocational
15
   evaluation. It has been exceedingly different and I'm
16
   personally dealing with it, to schedule, to find time for
17
   our witness to be available for a deposition. He is very
   busy, he has a national practice, he's throughout the
18
19
   country. We are working very hard to, we've obtain three
20
   dates in May, I presented them to Ms. Harwin before this
21
   morning's conference, we will schedule it as soon as
22
   possible. The deadline right now is April 30th, so I wanted
23
   to bring it to the Court's attention. We're not --
24
             THE COURT: Okay, so are the dates in May, is one
25
   of the dates acceptable to both sides?
```

```
1
                          PROCEEDINGS
                                                      51
                         Unfortunately, I had to (inaudible)
2
             MS. HARWIN:
3
   my phone to the, to get into the courtroom, so I haven't
   been able to confirm since getting those dates this morning,
 4
   but I anticipate that one of those dates should work.
5
             THE COURT: So I can extend the deadline so that
6
7
   that deposition can be taken in May.
8
             MS. HARWIN:
                         Thank you.
9
             MR. BENNETT: Of the three dates the outlier is
10
   the 26^{th} of May.
11
             THE COURT:
                       Okay.
12
             MR. BENNETT: And then the third is really an FYI
13
   as well, we alerted the Court quite a while ago to a third
14
   party subpoena that we served on an entity called
15
   Neighborhood Defender Service, we were seeking certain
16
   documents in connection with that entity's
17
   representation of Ms. Robinson in response to the
18
   investigation by the D.A. They served some, one
19
   category of documents in response to I think five or
20
        We are going to follow up with them because we
21
   think that production is deficient. It's our hope to
22
   resolve it without bothering the Court, but it is
23
   possible we may need to bring that dispute to your
   attention.
24
25
             THE COURT:
                          There was no indictment, right?
```

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1
                         PROCEEDINGS
                                                   52
2
            MR. BENNETT: No, the Court is correct.
3
            THE COURT: So there was an investigation, an
   internal investigation by the D.A. --
4
5
            MR. BENNETT:
                           Yes.
6
            THE COURT: And no presentation to the grand
7
   jury.
8
            MR. BENNETT: Correct.
9
            THE COURT: So, okay, and so the defense
10
   lawyer is going to have mostly privileged documents
11
   except --
12
            MR. BENNETT: We are certainly not looking for
13
   that, the organization has produced communications
14
   between itself and the D.A.'s investigator.
15
            THE COURT: Okay.
16
            MR. BENNETT: What we are looking for are some
17
   other documents that we think still are relevant. One
18
   is, and it still is odd to us that it wasn't produced,
19
   the mission statement for the organization. This is a
   not for profit organization and --
20
21
            THE COURT: Why is that relevant to this case?
22
            MR. BENNETT: From what we understand, Ms.
23
   Robinson was not really within what's called the
24
   catchment area of this organization --
25
            THE COURT: So what? So what?
```

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1
                         PROCEEDINGS
                                                   53
2
            MR. BENNETT: Well there is a connection --
3
            THE COURT: She's entitled to a, she's
   entitled to get a lawyer, if that organization said,
4
   oh, we'll, we'll allow it, so what, what does, how
5
   does that, how is that material to the main issues in
6
7
   this case?
            MR. BENNETT: Your Honor, there is a
8
9
   connection between the plaintiff's legal counsel in
10
   this case and that organization. That is one of the
   reasons we wanted to look into it.
11
12
            THE COURT: Again, so what?
13
            MR. BENNETT: I think it goes to credibility
14
   with respect to Ms. Robinson's retention of a not for
15
   profit organization to defend her in response to a
16
   criminal investigation when she had resources
17
   allegedly to maintain, retain her own separate private
   counsel.
18
19
            THE COURT: How is that issue ever going to be
   presented to a jury, how does that bear on any of the
20
21
   claims? And I don't understand how that bears on, on
   the plaintiff's credibility either. So what, so she,
22
23
   so she's friends with an attorney or an attorney
24
   referred another attorney, she made, so what, she has
25
   attorneys?
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1
                         PROCEEDINGS
                                                   54
2
            MR. BENNETT: Well this is the problem, Your
3
   Honor, with due respect, with these issue, where we
   get so far afield that sometimes sight is lost. The
   only question here --
5
            THE COURT: The sight would be lost in
6
7
   pursuing discovery about why she hired this, this
   Bronx Defenders, who cares really?
8
9
            MR. BENNETT: I just want you to understand
10
   the stream here and how we've wound up here. The
   entire allegation relating to the District Attorney's
11
12
   Office is that Canal and Mr. De Niro went to the
13
   District Attorney's Office in retaliation for her
14
   bringing this lawsuit.
15
            THE COURT: I understand, and you say, no, you
16
   went there because you believe she stole.
17
            MR. BENNETT: Not only that, we went there and
   we produced documentation --
18
19
            THE COURT: Fine.
20
            MR. BENNETT: (continuing) -- that the
21
   communications with the D.A.'s Office --
22
            THE COURT: Right.
23
            MR. BENNETT: (continuing) -- were before the
24
   lawsuit was started.
25
            THE COURT: Okay.
```

```
1
                         PROCEEDINGS
                                                   55
2
            MR. BENNETT: So the theory is caput.
3
            THE COURT: Well, this, this is, this is a
4
   discovery conference, these are arguments that will be
   made later in the case, right, you each will make your
5
   own, your own arguments on that. But the details of
 6
7
   why a particular counsel was retained is really not,
   not so relevant to the case. I mean this is, both
8
   sides have been somewhat scorched earth in this case,
10
   and you need to dial it back and just finish getting
   to the core issues.
11
12
            MR. BENNETT: But is it also relevant then, as
13
   counsel proposes to do, to ask Mr. De Niro about his
14
   communications with the District Attorney's Office,
15
   who was there at the meeting, what were you wearing,
16
   what did you eat for breakfast that day --
17
            THE COURT: What you were wearing, what you
18
   ate for breakfast, no, of course not. But what
19
   information did you provide, sure, that's relevant
20
   because she's entitled to know what information was
21
   provided for, regarding the suspected theft. I'm sure
   there was certain information.
22
23
            MR. BENNETT: Documentation or verbal
24
   statements?
25
            THE COURT: Either, either is, what, you know,
```

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1
                         PROCEEDINGS
                                                    56
2
   because he may have provided context, you know, these
3
   are my Sky Miles, this is why I think she stole the
   Sky Miles.
 4
            MR. BENNETT: Very well.
5
                         That's just the factual
6
            THE COURT:
7
   presentation made to the D.A. so the D.A. could then
8
   decide is this a criminal matter or not.
9
            MR. BENNETT: Understood. For us it was a
10
   fairly novel issue as to whether you bring something
   to the D.A.'s office, whether or not there's any sort
11
12
   of privilege that might attach to those communications
13
14
            THE COURT: I don't see why there's a
15
   privilege, I mean it's not grand jury.
16
            MR. BENNETT: We couldn't find one either.
17
            THE COURT: No, there's not a privilege.
18
            MS. HARWIN: Your Honor, if I could just raise
19
   one final issue which is just a plea for compliance
20
   with this Court's rules. One of the things that we've
21
   encountered and the reason I think there's been such a
22
   flurry of filings is that defendants have repeatedly
23
   chosen to file letter motions with the Court without meeting
24
   and conferring with plaintiff. This is something that
25
   occurred with respect to the Rule 35 request for an
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1
                          PROCEEDINGS
                                                      57
2
   examination, with respect to their filing a letter
3
   motion concerning trying to prohibit the videotaping
 4
   of Mr. De Niro's deposition and a whole host of other
   matters. You know, we, we diligently abide by the
5
   Court's rules, we don't raise issues with the Court
6
7
   without having a communication with defendants first, we
   don't present letter motions without conferring with
8
9
   defendants, and I would just ask the Court to enforce its
10
   own rules and direct defendants to comply.
11
             THE COURT: Well both sides have caused the others
12
   agitation in this case. What I'm going to do is I'm going
13
   to set another conference because I don't want to have, I
14
   don't want to have any letter motions between now and
15
   the next conference, we can address issues at the next
16
   conference.
17
             MS. HARWIN: Thank you, Your Honor.
             THE COURT: Chris, is there a day maybe before
18
19
   I'm on criminal duty or the week of the 16th maybe?
   What about late in the day on the 18th at like 4? We
20
21
   may be done by like 4. I can give you 4:00 on May
   18<sup>th</sup>.
22
23
             MS. HARWIN: On May 18<sup>th</sup>?
24
             THE COURT: Yes.
25
             MS. HARWIN: I'm sorry, would someone mind
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Case 1:19-cv-09156-LJL-KHP Document 215 Filed 04/28/22 Page 58 of 59

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1
                                                    58
                         PROCEEDINGS
2
   telling me what day of the week that is?
3
            THE COURT: It's a Wednesday.
 4
            MS. HARWIN: Thank you.
            THE COURT: Okay, 4 p.m. in person, no letter
5
   motions between now and then, you can submit a three-
6
7
   page joint agenda letter by the 16th.
8
            MS. HARWIN: Thank you, Your Honor.
9
            THE COURT: If there's no reason to have the
10
   conference, you can let me know that, too, but somehow
11
   I suspect there will be.
12
            Okay, is there anything further?
13
            MR. BENNETT: No, Your Honor.
14
            MS. HARWIN: Thank you, Your Honor.
15
            THE COURT: All right, nice to see everybody.
16
            MR. SANFORD: Thank you, Your Honor.
17
             (Whereupon, the matter is adjourned.)
18
19
20
21
22
23
24
25
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1	59
2	
3	<u>CERTIFICATE</u>
4	
5	I, Carole Ludwig, certify that the foregoing
6	transcript of proceedings in the case of Robinson v. De
7	Niro et al, Docket #19-cv-09156-LJL-KHP, was prepared using
8	digital transcription software and is a true and accurate
9	record of the proceedings.
10	
11	
12	
13	Signature Carole Ludwig
14	Carole Ludwig
15	Date: April 26, 2022
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